



2023-2024 APPEAL FOR INDEPENDENT STATUS

Student Name:

NSHE ID:

SECTION 1: Required Documents

- 1. *Personal Statement.*** Submit a personal statement that explains why you are unable to obtain parent information for the FAFSA, or why it would be unsafe for you to attempt to do so. Also, explain how you supported yourself in the last calendar year.
- 2. *Two Third-Party Statements.*** Submit two *signed* third-party statements (*on business letterhead with contact information*) that support the claims made in your personal statement. Recommended third parties include, but not limited to: school counselors, clergy, physicians, social workers, or other individuals who are familiar with your circumstances.

SECTION 2: CERTIFICATION

Acknowledgment of Regulations:

By initialing in the box provided, you acknowledge that your appeal will not be approved if you do not adequately demonstrate that you meet the qualifications for a dependency override.

Per Federal Regulations, the following circumstances, either individually or in combination of do not qualify as unusual circumstances for the purpose of this appeal: *parents who refuse to contribute toward your education, parents who are unwilling to provide information for the FAFSA application, parents who do not claim student as a dependent for tax purposes, parents live in another country, or a student that demonstrates total self- sufficiency.*

(initial)

By signing this document, I certify that all information reported is complete and accurate. I further understand that purposely providing false or misleading information on this document may result in being fined, jailed, or both.

Signing for someone else is FRAUD, even with their permission.

Student Signature:

Date:



**2023-2024
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SECTION 3: DEMOGRAPHIC AND MARITAL STATUS

Based on the answers you provided on the FAFSA Application, you were determined to be an independent student. Answer the questions below about your demographic and marital status.

As of the day you submitted your FAFSA, what was your marital status?	
	Married - <i>Must provide spouse's information below.</i>
	Married, but separated and not living together with their spouse Date of Separation (MM/YYYY): _____ <i>Do not provide spouse's information below. Selecting this option may require additional supportive documentation at a later date.</i>
	Divorced - <i>Do not provide spouse's information below. Selecting this option may require additional supportive documentation at a later date.</i> Date Divorce Finalized (MM/YYYY): _____
	Single/Never Married - <i>Do not provide spouse's information below.</i>
	Widowed - <i>Do not provide spouse's information below. Selecting this option may require additional supportive documentation at a later date.</i> Date of Spouse's Death (MM/YYYY): _____

If the option you selected above indicates your spouse's information is required, enter the requested information below.

Spouse, Full Name:		Age:	
Is this person enrolled in college?	YES* NO	*If YES, are they at least half-time?	YES NO
College:		FAFSA School Code:	

SECTION 4: HOUSEHOLD SIZE

Are there any additional children or other dependents* (*other than yourself, or your spouse*) in your household that will receive more than 50% their financial support from you between July 1, 2023 and June 30, 2024?

**(Other Dependents not your children must actively live in the household)*

YES* **NO** ***If YES, complete the remainder of the section**

1. Full Name:
Age:
Relation to Student:
Is this person enrolled in college?
YES NO
If enrolled, are they at least half-time?
YES NO
College:
FAFSA School Code:

2. Full Name:
Age:
Relation to Student:
Is this person enrolled in college?
YES NO
If enrolled, are they at least half-time?
YES NO
College:
FAFSA School Code:

3. Full Name:
Age:
Relation to Student:
Is this person enrolled in college?
YES NO
If enrolled, are they at least half-time?
YES NO
College:
FAFSA School Code:

*If more than three dependents, use the additional boxes provided on page 2.



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SECTION 4: HOUSEHOLD SIZE (CONT.)

4. Full Name:	5. Full Name:	6. Full Name:
Age:	Age:	Age:
Relation to Student:	Relation to Student:	Relation to Student:
Is this person enrolled in college? YES NO	Is this person enrolled in college? YES NO	Is this person enrolled in college? YES NO
If enrolled, are they at least half-time? YES NO	If enrolled, are they at least half-time? YES NO	If enrolled, are they at least half-time? YES NO
College:	College:	College:
FAFSA School Code:	FAFSA School Code:	FAFSA School Code:

SECTION 5: STUDENT TAX STATUS AND DOCUMENTATION REQUIRED

STUDENT, select one option below that best describes your tax status for the **2021 calendar year**.

Option	Option Description	Documents Required								
	I used the IRS Data Retrieval Tool (DRT) to import my 2021 tax data on the FAFSA application	N/A								
	I choose not to use or were not able to use the IRS Data Retrieval Tool (DRT) to import my 2021 tax data and entered it manually.	An official 2021 IRS Tax Return Transcript, OR a <u>signed</u> copy of your 2021 IRS 1040 form(s), AND Schedule 1, Schedule 2, Schedule 3, Schedule C, Schedule F and/or Schedule K (<i>schedules as applicable</i>)								
	I amended (<i>corrected after initially filing</i>) my 2021 taxes with the IRS.	a <u>signed</u> copy of your 2021 IRS 1040X, AND a <u>signed</u> copy of the original 2021 IRS 1040 form, and Schedules 1, 2, 3, C, F, and/or K (<i>schedules as applicable</i>) OR your 2021 IRS Tax Return Transcript								
	I <u>was</u> employed in 2021 and was not required to file a 2021 IRS Tax Return.	List each employer and the amount earned in 2021. You must also attach an IRS W-2 for each employer. <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th>Employer Name</th> <th>Wages Earned</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>\$</td> </tr> <tr> <td>2.</td> <td>\$</td> </tr> <tr> <td>3.</td> <td>\$</td> </tr> </tbody> </table> <p style="text-align: center;"><i>-and-</i></p> obtain and attach a verification of non-filing (VNF) letter from the IRS dated on or after October 1, 2022. (<i>obtain a VNF letter, use IRS Form 4506-T, option 7</i>)	Employer Name	Wages Earned	1.	\$	2.	\$	3.	\$
Employer Name	Wages Earned									
1.	\$									
2.	\$									
3.	\$									
	I <u>was not</u> employed in 2021 and <u>was not</u> required to file a 2021 IRS Tax Return.	Obtain and attach a verification of non-filing (VNF) letter from the IRS dated on or after October 1, 2022. (<i>obtain a VNF letter, use IRS Form 4506-T, option 7</i>)								

SECTION 6: ROLLOVERS

STUDENT RESPONSE	
Did you report any taxable IRA distributions, pensions, or annuities on your IRS 1040 Tax Return for 2021 (lines 4b and/or 5b)?	YES NO/NA
If yes, was this amount a rollover ? A rollover occurs when you withdraw assets from one retirement plan and contribute it, within 60 days, to another retirement plan.	YES* NO
*If YES, attach a copy of your IRS 1099-R	

SPOUSE RESPONSE (<i>if filed separately</i>)	
Did you report any taxable IRA distributions, pensions, or annuities on your IRS 1040 Tax Return for 2021 (lines 4b and/or 5b)?	YES NO/NA
If yes, was this amount a rollover ? A rollover occurs when you withdraw assets from one retirement plan and contribute it, within 60 days, to another retirement plan.	YES* NO
*If YES, attach a copy of your IRS 1099-R	



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SECTION 7: SPOUSE TAX STATUS AND DOCUMENTS REQUIRED

SPOUSE, select one option below that best describes your tax status for the **2021 calendar year**.

Option	Option Description	Documents Required								
	I am not required to provide spouse information as indicated on page 1 of this form.	N/A								
	My spouse filed jointly with me (<i>student</i>) and has already provided their tax information either via the IRS Data Retrieval Tool (<i>DRT</i>), or through my tax documents attached above.	N/A								
	My spouse filed separately and chose not to use or were not able to use the IRS Data Retrieval Tool (<i>DRT</i>) to import their 2021 tax data and entered it manually.	An official 2021 IRS Tax Return Transcript, OR a signed copy of your 2021 IRS 1040 form(s), AND Schedule 1, Schedule 2, Schedule 3, Schedule C, Schedule F and/or Schedule K (<i>schedules as applicable</i>)								
	My spouse filed separately and amended (<i>corrected after initially filing</i>) their 2021 taxes with the IRS.	a signed copy of your 2021 IRS 1040X, AND a signed copy of the original 2021 IRS 1040 form, and Schedules 1, 2, 3, C, F, and/or K (<i>schedules as applicable</i>) OR your 2021 IRS Tax Return Transcript								
	My spouse <u>was</u> employed in 2021 and <u>was not</u> required to file a 2021 IRS Tax Return, nor was their income reported on my (<i>student</i>) 2021 tax documents.	List each employer and the amount earned in 2021. You must also attach an IRS W-2 for each employer. <table border="1" style="margin: 10px auto;"> <thead> <tr> <th>Employer Name</th> <th>Wages Earned</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>\$</td> </tr> <tr> <td>2.</td> <td>\$</td> </tr> <tr> <td>3.</td> <td>\$</td> </tr> </tbody> </table> <p style="text-align: center;">-and- obtain and attach a verification of non-filing (VNF) letter from the IRS dated on or after October 1, 2022. <i>(obtain a VNF letter, use IRS Form 4506-T, option 7)</i></p>	Employer Name	Wages Earned	1.	\$	2.	\$	3.	\$
Employer Name	Wages Earned									
1.	\$									
2.	\$									
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	My spouse <u>was not</u> employed in 2021 and <u>was not</u> required to file a 2021 IRS Tax Return, nor are they listed on my (<i>student</i>) 2021 tax documents.	Obtain and attach a verification of non-filing (VNF) letter from the IRS dated on or after October 1, 2022. <i>(obtain a VNF letter, use IRS Form 4506-T, option 7)</i>								

SECTION 8: CERTIFICATION

By signing this verification worksheet, we certify that all information reported is complete and accurate and understand that verification will not be processed if any section of this form is left blank. We further understand that purposely providing false or misleading information on this worksheet may result in being fined, jailed, or both. **Signing for someone else is FRAUD, even with their permission.**

Student Signature: _____ Date: _____